



**Part 2B of Form ADV: *Brochure Supplement***

MARC STUART GOLDBERG  
33 Rock Hill Rd #300  
Bala Cynwyd, Pa 19004  
6010-667-2060

IC Advisory Services, Inc.  
1420 U.S. Highway 206 N.  
Suite 210  
Bedminster, NJ 07921

March 28, 2023

This brochure supplement provides information about MARC STUART GOLDBERG that supplements the IC Advisory Services, Inc. brochure. You should have received a copy of that brochure. Please contact Douglas A. Wright (908) 707-4422 if you did not receive IC Advisory Services, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about MARC STUART GOLDBERG is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Item 2 Educational Background and Business Experience

**Full Legal Name:** MARC STUART GOLDBERG      **Born:** 1956

### Education

- Drexel University; BS, Accounting & Finance; 1978

### Business Experience

- Rosenfelt Siegel & Goldberg PC; President; from 1977 to Present
- The Investment Center, Inc.; Registered Rep; from 1/4/1999 to Present
- IC Advisory Services, Inc.; Representative; from 6/2/2006 to Present

### Designations

MARC STUART GOLDBERG has earned the following designation(s) and is in good standing with the granting authority:

- Certified Public Accountant; Commonwealth Of Pennsylvania; 1979
- Certified Public Accountant; State of Florida; 2007
- Certified Public Accountant; State of New Jersey; 2007

### Certified Public Accountant (CPA)

The requirements, which are set by each state board of accountancy, include: completing a program of study in accounting at a college or university, passing the Uniform CPA Exam, and obtaining a specific amount of professional work experience in public accounting (the required amount and type of experience varies according to licensing jurisdiction). Continuing Education requirements are 40 hours each year.

- Certified Financial Planner™; CFP Board; 2005

### CERTIFIED FINANCIAL PLANNER™ (CFP®)

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience and agree to adhere to the CFP® Board's code of ethics and professional responsibility and financial planning standards. Continuing Education requirements are 30 hours every two years.

## **Personal Financial Specialist (PFS)**

- Personal Financial Specialist; The American Institute of Certified Public Accountants; 2001

The PFS designation is awarded by the American Institute of Certified Public Accountants (AICPA) to those who have taken additional training, a final certification exam, and already have a CFP® designation. Every PFS credential holder is a licensed CPA and a member in good standing in the AICPA; has met strict education and eligible business experience requirements, including two (2) years of full time business or teaching experience in personal financial planning and has agreed to the AICPA Code of Professional Conduct. Continuing Education requires 60 hours of continuing professional education every three years related to the personal financial planning body of knowledge.

## **Item 3 Disciplinary Information**

MARC STUART GOLDBERG has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. MARC STUART GOLDBERG is also engaged in the following investment-related activities:

#### **Registered representative of a broker-dealer**

**Registered Representative of The Investment Center, Inc.** Mr. Goldberg is a registered representative of The Investment Center, Inc. ("TIC"), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Goldberg in his individual capacity as a registered representative of TIC, to implement investment recommendations on a commission basis.

**Conflict of Interest.** The recommendation by Mr. Goldberg that a client purchase a securities commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any commission products from Mr. Goldberg. Clients are reminded that they may purchase investment products recommended by Mr. Goldberg through other, non-affiliated broker dealers. **The Registrant's Chief Compliance Officer, Douglas A. Wright, remains available to address any questions that a client or prospective client may have regarding**

**the above conflict of interest.**

#### **Other investment-related business**

**Licensed Insurance Agent.** Mr. Goldberg, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Goldberg to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Goldberg that a client purchase an insurance commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Goldberg. Clients are reminded that they may purchase insurance products recommended by Mr. Goldberg through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Douglas A. Wright, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

2. MARC STUART GOLDBERG receives commissions, bonuses or other compensation on the sale of securities or other investment products.

**Commissions.** In the event the client chooses to purchase investment products through *TIC*, brokerage commissions will be charged by *TIC* to effect securities transactions, a portion of which commissions shall be paid by *TIC* to Mr. Goldberg. The brokerage commissions charged by *TIC* may be higher or lower than those charged by other broker-dealers. In addition, *TIC*, as well as Mr. Goldberg, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Mr. Goldberg is separate and apart from IC Advisory Services Inc.'s investment management services discussed in the Registrant's *Brochure*.

#### **B. Non Investment-Related Activities**

MARC STUART GOLDBERG is involved in the following other business activities. The following list includes information regarding the percentage of time required as well as the nature of the activity.

- Rosenfelt, Siegel & Goldberg, CPA, 40%, Accounting & Tax
- Professional Trustee for Trusts, Guardian for Minors and Incapacitated Persons, & Executor for Estates, 10% combined

### **Item 5 Additional Compensation**

MARC STUART GOLDBERG does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

**Supervisor:** Ralph DeVito

**Title:** President

**Phone Number:** 908-707-4422

The supervisor listed above has the overall responsibility to oversee the activities of Mr. Goldberg.

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("*Act*"). The Registrant's Chief Compliance Officer, Douglas A. Wright, is primarily responsible for the implementation of the Registrant's policies and procedures. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Wright at (908) 707-4422.